



APPLICATION FOR LAWYERS PROFESSIONAL LIABILITY INSURANCE
CLIENT INFORMATION SUPPLEMENT

Firm Name: \_\_\_\_\_

Policy Number: \_\_\_\_\_

Effective Date (m/d/yyyy): \_\_\_\_\_

Table with 9 columns: Name of Client, Nature of Client's Business, Specific Legal Services Rendered for Client, Is this a Current Client?, % of Ownership Interest, Name of Attorney(s), Officer Position Held, % of Firm's Total Gross Billings from Client, Is this client Publicly Traded? Each row includes checkboxes for Yes/No and a date field.

\*\*\* NOTE: When documenting legal services rendered, refer to the practice areas on the application as a guide. Noting "legal" as the services rendered is unacceptable.

1. Provide full details of the firm's conflict of interest system. Outline the impact the loss of this client would have on the firm. Explain if the gross billings were the result of one large case handled. Detail if the firm anticipates additional new clients over the next year or two thereby reducing the gross billings generated from any one client.

Three horizontal lines for providing details on conflict of interest system.

2. Do any services rendered by the Firm for publicly traded clients involve Sarbanes-Oxley Act (SOX) compliance requirements including but not limited to Securities, Accounting, Financial/Investment Services or Tax Work? Yes No If yes, explain steps taken to insure compliance via attachment.